

PCT#

FORM PTO-1390 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
(REV. 11-2000)

ATTORNEY'S DOCKET NUMBER

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## TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

not known

10/500169

INTERNATIONAL APPLICATION NO.  
PCT/JP02/13630INTERNATIONAL FILING DATE  
26 December 2002PRIORITY DATE CLAIMED  
26 December 2001

## TITLE OF INVENTION

BASE ISOLATION DEVICE FOR A STRUCTURE

APPLICANT(S) FOR DO/EO/US

ISHIMARU, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☒ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. ER520429509US
23. ☒ Other items or information:

Claim for priority; postcard receipt



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

ISHIMARU, et al.

Group Art Unit: not known

Application No. not known

Examiner: not known

Priority claimed from: Japanese application 2001-394435 (filed December 26, 2001), and  
PCT International application PCT/JP02/13630 (filed December 26, 2002)

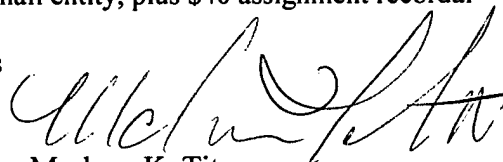
Filed: June 23, 2004

For: BASE ISOLATION DEVICE FOR A STRUCTURE

\* \* \* \* \*  
CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 C.F.R. 1.10)

I hereby certify that the following correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 in an envelope addressed to: The Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on **June 23, 2004**:

- (1) Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C §371 (small entity) (2 pages, in duplicate)
- (2) Copy of Japanese priority document application no. 2001-394435 (18 pages total)
- (3) English translation of international application PCT/JP02/13630 (34 pages total, including 16 sheets of figures)
- (4) Substitute specification (marked-up version) (31 pages total, including 16 sheets of figures)
- (5) Substitute specification (clean version) (29 pages total, including 16 sheets of figures)
- (6) Declaration and Power of Attorney (4 pages, signed)
- (7) First Preliminary Amendment (5 pages)
- (8) Claim for priority (1 page)
- (9) Assignment (signed) (2 pages)
- (10) Assignment Recordal sheet (1 page)
- (11) Check for \$500.00 (\$460 filing fees for small entity, plus \$40 assignment recordal fees)
- (12) postcard receipt listing all enclosed items



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